

Document Retention Schedule Version 7 (effective 1st May 2018)

Preface

The University of Wolverhampton document retention schedule provides consistent guidance on how long records held by the University should be retained.

Retention periods

Retention periods have been assigned after consideration of legal requirements, good practice guidance e.g. JISC HEI retention schedule, good practice within the sector and business needs of the University. The Schedule is designed to ensure that information is kept as long as necessary, whilst addressing compliance with the Data Protection Legislation.

The retention periods within the schedule apply to information in all formats and should be applied consistently regardless of whether the information is held manually or electronically. When storing information in electronic format, only approved applications should be used to ensure long-term accessibility of information. For further advice on applications, or data types for scanned information, please contact IT Services. Any records containing personal data or confidential information should be disposed of in accordance with the University's <u>guidance on disposing of confidential waste</u>. When retention periods for records have expired documents should be reviewed in accordance with this guidance, with a view to disposal. If it is believed that there is justification to retain the data for longer, the University Secretary and Clerk to the Board must be informed and shall make the final decision.

How to use the retention schedule

The retention schedule is split into relevant sections with a description of the type of document, the length of time it should be retained for and a reason why.

Abbreviations/acronyms used in the schedule are:

CAY - current academic year

CFY - current financial year

CTY - current tax year

PPCR – post publication of confirmed results

EOR – end of relationship

LAC - last action on case

JISC - Joint Information Systems Committee

TOA - termination of agreement

Retention periods are listed in reference to the above abbreviations, e.g. CAY + 2 years would be Current Academic Year plus 2 years.

The schedule also refers to the following formats:

E - Electronic

SITS – Strategic Information Technology Services' Student Record System

If you are unable to find a document within the schedule, please contact the University Secretary Directorate on dataprotection@wlv.ac.uk.

Dealing with disposal of confidential records

The University has a confidential waste disposal service and this policy can be viewed here. Documents should be placed in a confidential waste bag or bin which should be sealed and kept in a secure area until collected.

Documents kept on media such as CDs, DVDs, floppy disks, memory sticks and VHS tapes can also be securely disposed of via the magnetic waste collection. Please see the confidential waste disposal service for full details (available here).

For further advice or guidance on retention, please contact the University Secretary Directorate on ext 2602 dataprotection@wlv.ac.uk.

Categories of Records

- 1. Governance
- 2. Financial Resources
- 3. Human Resources
- 4. Physical Resources
- 5. Student Administration and Support
- Information Services
- 7. Teaching and Learning
- 8. Research and Development
- 9. External Relations
- 10. Health and Safety



1. Governance

Code	Description of record	Retention/Format	Responsibility	Reasons/Notes
1.1	Incorporation documents (including Instrument and Articles of Government)	Permanently	University Secretary and Clerk to the Board	Archival value; JISC ⁱ
1.2	Board of Governors minutes (including committees thereof)	Permanently	University Secretary and Clerk to the Board	Archival value; JISC ¹ University of Wolverhampton, Articles of Government
1.3	Academic Board minutes (including committees thereof)	Permanently	Academic Registrar	Archival value; University of Wolverhampton, Articles of Government
1.4	University Companies minutes	Permanently	University Secretary and Clerk to the Board	Companies Act 1985 s.382 ⁱⁱ
1.5	Vice Chancellor's Advisory Group (VCAG) notes/papers	6 Years	Executive Officer	Archival value



1.6	Corporate Management Team (formerly	6 Years	Executive Officer	Good practice ⁱⁱⁱ
	Senior Management Group)			
	minutes/papers			
1.7	Financial Reports and Accounts	One signed copy	Finance Director	Evidential purposes
		Permanently		
1.8	Trust Deeds	Permanently – one copy	University Secretary and Clerk to the Board	Archival value
1.9	Details of Governors/Trustees	On the University	University	OfS Charity Regulation
		website – updated annually	Secretary Directorate	compliance
1.10	Register of Charges	Permanently	University Secretary and Clerk to the Board	Evidential purposes
1.11	Register of Seals	Permanently	University Secretary and Clerk to the Board	Archival value



1.12	Registers of Member's Interests	Permanently	University Secretary and Clerk to the Board	Evidential purposes
1.13	Share transfer documents	Permanently	University Secretary and Clerk to the Board	Evidential purposes
1.14	Companies' annual returns	Updated annually on Companies House website	University Secretary and Clerk to the Board	Statutory requirement
1.15	University Bye-laws	Current versions on the University website	University Secretary and Clerk to the Board	Evidential purposes
1.16	University Committee Handbook	Superseded + 3 years	University Secretary Directorate	Business purposes
1.17	Freedom of Speech Documentation	1 year post event	University Secretary and Clerk to the Board	Evidential purposes



1.18	Powers of Attorney	Permanently	University Secretary and Clerk to the Board	Evidential purposes
1.19	University's Mission Statement	Permanently	University Secretary Directorate	Archival value
1.20	University's Strategic Plan(s)	Permanently	University Secretary Directorate	Corporate knowledge; Archival value
1.21	University Academic Calendar	CAY + 6 years	Academic Registrar	Business purposes
1.22	Financial Regulations	Permanently	Finance Director	Archival value
1.23	Complaints documentation	CAY + 6 years	Dean of Students	Limitation Act 1980
1.24	Deeds of Covenant	12 years after expiry	University Secretary and Clerk to the Board	Limitation Act 1980 1980s. 8



1.25	Student record	As part of SITS	Academic Registrar	Business purposes
		electronic record/at		
		least 6 years		
1.26	Major agreements of historical significance	Permanently	University Secretary and Clerk to the Board	Archival value
1.27	Internal audit services reports and documentation	CAY + 5 years	University Secretary and Clerk to the Board	Business purposes
1.28	Investigations under the Public Disclosure Act 1998 (whistleblowing investigations)	5 years from close of investigation	University Secretary Directorate	Evidential purposes
1.29	Freedom of Information requests	3 years	University Secretary Directorate	Evidential and cross referencing purposes
1.30	Data Protection subject access requests	3 years	University Secretary Directorate	Evidential Purposes



2. Financial Resources

Code	Description of record	Retention/Format	Responsibility	Reasons/Notes
2.1	Contracts/Licensing Agreements (other than building see 4.2)	6 years after expiry	Legal Services	Limitation Act 1980/Record for Tax purposes
2.2	Rental/hire purchase agreements	6 years after expiry	Legal Services	Limitation Act 1980/Record for Tax purposes
2.3	Indemnities and guarantees	6 years after expiry	Legal Services	Limitation Act 1980
2.4	Franchise and collaborative agreements	6 years after expiry	Academic Registrar	Limitation Act 1980
2.5	Property deeds/land certificates	Until sold or transferred	Legal Services	Evidential purposes
2.6	Property leases/licenses	12 years after expiry	Legal Services	Evidential purposes



2.7	Patent and trademark records	Permanently	Legal Services	Evidential purposes
2.8	Intellectual property rights' agreements	TOA + 6 years	Legal Services	JISC ¹
2.9	Published Accounts	CFY + 6 years	Finance Director	Business purposes
2.10	Internal budgets and forecasts	CFY + 2 years	Finance Director	Business purposes
2.11	Tax returns (income tax and VAT)	CTY + 6 years	Finance Director	HMRC 700/21 para. 2.4 ^{iv}
2.12	Bank statements	CFY + 6 years	Finance Director	HMRC 700/21 para. 2.4 ⁴
2.13	Instructions to Bank other than mandates	6 years after expiry of instruction	University Secretary and Clerk to the Board	Business purposes
2.14	Bank mandates	6 years after expiry	Finance Director	Business purposes



2.15	Payroll	Indefinitely	Finance Director	the National Archives
				guidance until age 100°
2.16	Expense account information	CTY + 6 years	Finance Director	JISC ¹
2.17	Sales invoices	CFY + 6 years	Finance Director	HMRC 700/21 para. 2.4 ⁴
2.18	Purchase invoice	CFY + 6 years	Finance Director	HMRC 700/21 para. 2.4 ⁴
2.19	Insurance: public products and employees liabilities policies and certificates	12 years after expiry	Finance Director	Evidential purposes
2.20	Purchase order requests (PORs)	CFY + 1 where required locally	Budget holder	Business purposes
2.21	Insurance: Other policies	6 years after expiry	Finance Director	JISC ¹
2.22	Insurance: Claims correspondence	12 years after settlement unless claimant is under 18 at time of settlement then age 18 plus 12 years	Finance Director	Current practice



2.23	Insurance: loss/accident reports	6 years unless	Finance Director	Limitation Act 1980
		claim commences		
		then follow		
		guidance in 2.22		
2.24	Successful tenders including	6 years after expiry	Finance Director	Limitation Act 1980
	specification, advertisements	of contract		
2.25	Approval of waivers/variation of	3 years	Finance Director	Business purposes
	tendering requirements (within financial			
	regulations)			
2.26	Unsuccessful tenders	1 year	Procuring school or	Business purposes
			department	
2.27	Quotations	1 year	Procuring school or	Business purposes
			department	



2.28	Project Initiation Documents (Green	6 years after	Finance Director	Financial Regulations
	Forms and attendant documentation)	completion of		
		contract		
2.29	EU and Non-EU funded project financial records	Variable (not less than 12 years) – format usually manual	Project Support Office and Finance Department	Contractual funding requirements and evidential purposes
2.30	Pensions information (contributions)	Permanently	Finance Director	Business purposes



3. Huma	3. Human Resources (subject to review on completion of new HR system project)				
Code	Description of record	Retention/Format	Responsibility	Reasons/Notes	
3.1	Electronic record of employees' employment at the University (electronic) including posts held	Permanently - E	Director of HR	Verification/The National Archives ⁵	
3.2	Personnel record including:	EOR + 6 years	Director of HR	Limitation Act 1980/ JISC ¹ Exception: where a disciplinary relates to child protection retain for the expected working life of the individual or a minimum of 10 years (whichever is the longer) NSPCC ^{vi}	
3.3	Sponsored Employees under points based system All records in s. 3.2 and : - • Confirmation letter for sponsorship	EOR + 6 years	Director of HR	UK Border Agency Guidance ^{vii}	



	 Governing Body endorsement (where appropriate) All documents submitted as part of application Copy of passport and biometric card Job advertisements (original format) and recruitment evidence e.g. invoices and contracts with head hunters All applications/expressions of interest from unsuccessful applicants in original format Names of shortlisted applicants and interview notes Reasons for non-employment of unsuccessful EEA (European Economic Area) nationals All contact details held during time of sponsorship Evidence of international status where appropriate e.g. press cuttings, recordings of media interviews 			
3.4	Disclosure and Barring Service Checks	EOR + 6 years 6 months from	Director of HR	JISC ¹ DBS Code of Practice for
	Record that check took place	receipt		Registered Persons
	Summary information supplied by DBS			
3.5	Absence record detailing causes and periods	Indefinitely	Director of HR	JISC ¹ EOR + 40 years
				The National Archives ⁵ until



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	of absence			age 72
3.6	Performance assessment /appraisal	CAY + 3 years	Line Manager	JISC ¹
3.7	Pension opt-in/out notices and confirmation	Permanently	Director of HR	Evidential purposes
	Appl	ications and Recruitm	ent	
3.8	Unsuccessful job applications	Appointment date + 6 months	Director of HR	JISC ¹
3.9	Interview notes	Appointment date + 6 months	Director of HR	Equality legislation
3.10	Ethnic monitoring forms	CAY + 1	Director of HR	Monitoring purposes
	Tra	ining and Developmer	nt	
3.11	Training records (mandatory) including	Permanently – E	Director of HR	Evidential purposes
	Health and Safety training			
3.12	Corporate Staff Development Programme	6 years	Director of HR	Business purposes



4. Physical Resources

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Code	Description of record	Retention/Format	Responsibility	Reasons/Notes
4.2	Building contracts	15 years after Expiry (where contracts are engrossed as a Deed)	Director of Estates & Facilities	Limitation with additional time allowed for latent damage ²
		6 years after Expiry (where engrossed under hand)		
4.3	Consultant appointments	15 years after Expiry (where contracts are engrossed as a Deed)	Director of Estates & Facilities	Limitation with additional time allowed for consistency with building contracts
		6 years after Expiry (where engrossed under hand)		
4.4	Building plans/drawings/files/operation & maintenance (O&M) manuals	Until disposal of property	Director of Estates & Facilities	JISC ¹ , evidential purposes



4.5	Planning applications, listed building consent	Permanently	Director of Estates & Facilities	JISC ¹ , evidential purposes
4.6	Transport leases	Until disposal of vehicle	Director of Estates & Facilities	Business purposes
4.7	Transport: Mileage records	1 year after disposal of vehicle	Director of Estates & Facilities	Business purposes
4.8	Transport: MOT certificates	1 year after disposal	Director of Estates & Facilities	Business purposes



4.9	Transport: Registration records	1 year after	Director of Estates &	Copy only, original will be
		disposal	Facilities	sent to DVLA
4.10	Residential accommodation regulations	6 years after expiry	Director of Estates &	Limitation Act 1980
			Facilities	
4.11	Letting of student accommodation	TOA + 6 years	Director of Estates &	Limitation Act 1980
			Facilities	
4.12	Display Energy Certificate	Whilst current	Director of Estates & Facilities	Where the building has a total useful floor area of more than
	Accompanying report	CAY + 7 years/10 years (dependent on		1,000m², the DEC is valid for 12 months. The accompanying
		floor area)		advisory report is valid for seven vears.
				Where the building has a total
				useful floor area of between 250m² and 1000m², the DEC and
				advisory report are valid for 10 years.
4.13	Plant and equipment maintenance,	Life of equipment +	Director of Estates &	
	testing and inspection certificates	6 years (statutory	Facilities	
		testing and inspections)		
		mopodions)		
		Life of equipment		
		(non-statutory		
		testing and inspections only)		
		. 3,		



4.14	Waste management: records	Removal of waste	Director of Estates &	Special Waste Regulations
	documenting the storage and collection	+ 3 years	Facilities	1996 SI 972
	of special waste			
4.15	Confidential waste receipts	Removal of waste	Relevant school or	Evidential requirements
		+ 1 year	department	
4.16	Building condition survey files	Permanently	Director of Estates &	Evidential requirements
			Facilities	
4.17	Wayleaves, Right of Access, Covenants and Easement Agreements	Permanently	Director of Estates & Facilities	Evidential requirements



5. Student Administration and Support **Description of record** Retention/Format Responsibility Reasons/Notes Code 5.1 Student application form CAY + 1 year Academic Registrar Except where required for longer by professional body 5.2 Successful applications End of Student Academic Registrar Verification Relationship + 6 years - E, SITS Unsuccessful applications CAY + 6 months -Academic Registrar Identify Duplicate/Fraudulent 5.3 Ε, **Applications** SITS End of Student 5.4 Withdrawals and leave of absence data Academic Registrar Business purposes Relationship + 6 years- E, SITS 5.5 Record of enrolment and admission Permanently - E, Academic Registrar Verification SITS Record of academic advice Limitation Act 1980 5.6 EOR + 5 years Deans of Faculty 5.7 Module/diet registration Permanently -Academic Registrar Verification SITS 5.8 Record of fee payment Permanently -Academic Registrar Student may query payments SITS made when loan becomes due for renewal (up to 20 years)



5.9 Exam scripts PPCR + 6 months Deans of Faculty Internal and External appeals



				processes
5.10	Feedback on assessed work (including	PPCR +6 months	Deans of Faculty	Internal and External appeals
	assessment of placements)			processes
5.11	Assessed work (student assignments)	10% (or	Deans of Faculty	QAA
		representative		or longer in accordance with
		sample for small		requirements of professional
		groups) retained for		bodies
		CAY + 1 year for		
		QAA		
5.12	Assessment results (grades – module	Permanently - SITS	Academic Registrar	Verification
	level)			
5.13	Marking verification (internal	PPCR +6 months	Deans of Faculty	Appeals/evidence of
	moderation)			moderation
5.14	External examiners' notes (where	PPCR +6 months	Deans of Faculty	Appeals
	available)			
5.15	Invigilators' reports	PPCR +6 months	Dean of Faculty	Appeals
5.16	Subject Board and Award Board	CAY + 6 years	Academic Registrar	Limitation Act 1980 + time
	minutes Extenuating Circumstances			allocated for completion
	Board minutes			of course



5.17	Qualification/degree class awarded	Permanently - SITS	Academic Registrar	Verification throughout
				student's working life
5.18	Examination question papers	Permanently (2	Deans of Faculty	Archival value
		copies)	Director of Learning and Information Services	
5.19	Record of requests for verification of	CAY + 1 year	Academic Registrar	Business purposes
	awards, references etc.			
5.20	Module guides, Pathway guides and	Permanently	Academic Registrar	Confirmation of course
	Course handbooks			content throughout student's
				working life
5.21	Rooming Timetable	CAY + 3 years, E	Academic Registrar	Verification of location of
				students in response to
				claims
5.22	Graduation programmes	Permanently	Academic Registrar	Archival value
5.23	Record of distribution of certificates	Date of ceremony +	Academic Registrar	Legal document – needs to
		1 year		be tracked
5.24	Student academic misconduct files	LAC + 6 years	Academic Registrar	JISC ¹
5.25	Student financial support records	CAY + 6 years	Dean of Students	Funding body requirements



5.26	DBS checks (same as section 3.4)	EOR + 6 years	Deans of Faculty	JISC ¹
		6 months from		
		receipt		
5.27	International Students:	EOR + 2 years	Academic	
	Copy of non-EU passports		Registrar	UKVI requirement
	History of contact details			
	Absences and designated contacts			
5.28	Certificate of sponsorship	Permanently - E	Academic Registrar	UKVI requirement
5.29	Counselling Record	EOR + 5 years	Counselling Service	BACP Guidance
5.30	Disability Record	EOR + 3 years	Dean of Students	Evidential requirements



6. Information Services					
Description of record	Retention/Format	Responsibility	Reasons/Notes		
IT conditions of use	Superseded + 6	Director of IT	Limitation Act 1980		
	years	Services			
Code on using University IT equipment	Superseded + 6	Director of IT	Limitation Act 1980		
	years	Services			
IT Services Customer Charter	Superseded + 6	Director of IT	Limitation Act 1980		
	years	Services			
Records of students' IT systems	EOR	Director of IT	Business purposes		
username		Services			
IT service desk calls	CAY + 2 years - E	Director of IT	Business purposes		
		Services			
Software licenses	End of license + 6	Director of IT	Limitation Act 1980		
	years	Services			
WOLF chat logs	2 months - E	Director of IT	Business purposes		
		Services			
	Description of record IT conditions of use Code on using University IT equipment IT Services Customer Charter Records of students' IT systems username IT service desk calls Software licenses	Description of record Retention/Format IT conditions of use Superseded + 6 years Code on using University IT equipment Superseded + 6 years IT Services Customer Charter Superseded + 6 years Records of students' IT systems username IT service desk calls CAY + 2 years - E Software licenses End of license + 6 years	Description of record Retention/Format Responsibility		



6.8	Voicemail recordings (where retained)	1 month	Director of IT	Business purposes
			Services	
6.9	British Library Request slips including	6 years	Director of Learning	British Library requirement/
	copyright declaration		and Information	JISC ¹
			Services	
6.10	Theses applications	6 years	Director of Learning	Evidential purposes
			and Information	(plagiarism)
			Services	
6.11	Learning Resources' Electronic	2 years - electronic	Director of Learning	Business purposes
	Enquiries		and Information	
			Services	
6.12	Learning Resources' Conditions of Use	Superseded + 6	Director of Learning	Evidential purposes
		years	and Information	
			Services	
6.13	Learning Resources' User Guides	Superseded + 2	Director of Learning	Business purposes
		years	and Information	
			Services	
6.14	Learning Centres' Borrower Record	EOR + 6 months or	Director of Learning	Kept beyond EOR where
	including fines owing	until record clear	and Information	items are outstanding
			Services	



6.15	Learning Centres' Payment Record	6 years	Director of Learning	Business purposes
			and Information	
			Services	

7. Teaching and Learning					
Code	Description of record	Retention/Format	Responsibility	Reasons/Notes	
7.1	Academic plans	Permanently	Deputy Vice Chancellor Academic	Archival value	
7.2	Programme specifications and course descriptions	Duration of validation period (6 years)	Academic Registrar	Archival value course planning/verification Evidential purposes	
7.3	Academic approval records	Permanently	Deputy Vice Chancellor Academic	Archival value Evidential purposes	
7.4	Documents relating to the development and design of taught programmes	Life of programme	Quality and Collaboration Unit	Minutes and reports of the Planning and Academic Standards sub-committee	
7.5	Teaching and learning materials	Duration of use + 1	Deans of Faculty	JISC ¹	



		year		
7.6	Accreditation and monitoring reports by	Permanently	Deputy Vice	Business purposes
	professional, statutory and regulatory		Chancellor	
	bodies		Academic	
7.7	Annual monitoring reports and	CAY+	Deans of Schools	Quality Assurance
	documents including examiners	5 years – E		
	comments and student data			
7.8	Undergraduate Regulations	Permanently	Academic Registrar	Evidential purposes
7.9	Postgraduate Regulations for taught	Permanently - E	Academic Registrar	Evidential purposes
	programmes			
7.10	External examiners' records (including	Termination of	Academic Registrar	Evidential purposes
	contracts) & reports	employment + 1 year – E		

8. Resea	8. Research and Development				
Code	Description of record	Retention/Format	Responsibility	Reasons/Notes	
8.1	University Research Committee minutes	10 years	Dean of Research	As subject and award board	
		10 years		minutes	
	URC Sub-committee minutes	10 4000			
	Student Management Board minutes	10 years			



8.2	Research policy and strategy documents	6 years after superseded	Dean of Research	Evidential/business purposes
	Research ethics policy documents	6 years after superseded		
	University Ethics Sub-Committee minutes	10 years		
8.3	Intellectual Property policy documents	6 years after	University Secretary and Clerk to the Board	Limitation Act 1980
		superseded		
8.4	Research Degree regulations	Permanently	Dean of Research	Evidential purposes
8.5	Research Assessment Exercise submissions	Permanently	Dean of Research	Archival value
8.6	Documentation relating to submitted bids e.g. Research Council Applications	6 years post year of completion of research (variable, EU projects see 2.29)	Dean of Research	Audit purposes, and in accordance with funding requirements/contracts
8.7	Primary research	10 years post	Dean of Research	The retention can be extended



		publication of		in accordance with funder's
		findings		requirements see also 2.29
8.8	Documentation relating to externally	Variable (See project	Project Team and	Contractual funding
	funded projects (EU and Non-EU funded) (i.e. bids, funding agreements,	specific contract or	Faculty/Department within which the	requirements and
	partnership records – refer to the specific	funding requirement	project falls	Evidential purposes
	project requirements for the relevant documents to be retained)	publications)		

9. Extern	9. External Relations				
Code	Description of record	Retention/Format	Responsibility	Reasons/Notes	
9.1	Correspondence from Associated External Agencies (e.g.HEFCE and TTA)	5 years	Offices of the Vice Chancellor	See also s.2.1 where part of conditions or agreements	
9.2	Formal submissions to and from external agencies	Permanently	Offices of the Vice Chancellor	Evidential purposes	
9.3	Course Enquiries	CAY + 1 year	External Relations	Trend analysis	
9.4	Prospectus (printed)	5 years	External Relations	Archival value	



9.	Student financial support	Superseded + 5	Dean of Students	Evidential purposes
	policies/procedures	years		



9.6	Marketing plans	5 years	External Relations	Corporate knowledge
9.7	Designs relating to corporate identity	10 years	External Relations	Archival value
9.8	Designs for marketing campaigns	3 years	External Relations	Archival value
9.9	Education guidance records	CAY + 3 years	External Relations	
9.10	Press releases	3 years	External Relations	Archival value
9.11	Conference/Events notices	6 months post event	External Relations	Business purposes
9.12	Referrals to external agencies: including public interest disclosures	LAC + 6 years	Department making referral	Evidential purposes; NSPCC ⁶



9.13	Media correspondence	CAY + 2 years	External Relations	Archival value
9.14	Annual Review	Permanently	External Relations	Archival value
9.15	Web page snapshots (excludes documents posted on the web, which need to be retained in accordance with their content)	3 years	External Relations	Business purposes



10. Health and Safety				
Code	Description of record	Retention/Format	Responsibility	Reasons/Notes
10.2	Accident reports (on-line)	CAY + 3 years	University Secretary	Statutory retention - The Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 1995 (RIDDOR) (as amended) Social Security (Claims and Payments) Regulations 1979
10.3	RIDDOR reports	CAY + 3 years	University Secretary	The Reporting of Injuries, Diseases and Dangerous Occurrences Regulations 1995 (RIDDOR) (as amended)
10.4	COSHH: reports on exposure of identifiable employee	40 years	Director of HR	The Control of Substances Hazardous to Health Regulations 2002 (COSHH)
	General monitoring reports	5 years		
10.5	Occupational Health Client Records	EOR + 40 years	Director HR	Monitoring purposes



10.6	Occupational Health Pre-employment	EOR + 40 years	Director HR	The National Archives ⁵
	Screening			
		If post not taken-up		
		1 year		



10.7	Occupational Health: Health Surveillance Records in accordance with COSHH, Ionising Radiation Regulations and Control of Asbestos at Work Regulations	EOR + 50 years	Director HR	Ionising Radiation Regulations 2017 (as amended) The Control of Substances Hazardous to Health Regulations 2002 (COSHH)
10.8	Risk assessments	Whilst current + 5 years	Relevant Faculty or Department	The National Archives ⁵
10.9	Risk reports	Review of assessment + 3 years	University Secretary Directorate	The National Archives ⁵
10.10	Minutes and papers of risk committee	CAY + 5 years	University Secretary Directorate	Evidential purposes
10.11	Asbestos register	Permanently	Director of Estates & Facilities	Evidential purposes
10.12	Health and Safety Regulations governing University operations	Permanently	University Secretary	Evidential purposes
10.13	Minutes of University Health and Safety Committees	Permanently	University Secretary and Clerk to the Board	Evidential purposes



¹ JISC HEI Business Classification Scheme and Retention Schedules: http://www.jiscinfonet.ac.uk/partnerships/records-retention-he/, accessed 05/04/2007.

http://customs.hmrc.gov.uk/channelsPortalWebApp/channelsPortalWebApp.portal?_nfpb=true&_pageLabel=pageLibrary_PublicNoticesAndInfoSheets&propertyType=document&columns=1&id=HMCE_CL_000099 accessed May 09

The National Archives Retention and Disposal Schedules: http://www.nationalarchives.gov.uk/recordsmanagement/advice/schedules.htm accessed May 09

Hamer, Andrew C. The ICA Guide to Document Retention. 204. pp132

Farrington, D. J. *The Law of Higher Education*. London, 1994. pp216 s.5.38.

iv HMRC: Notice 700/21 Dec 2007

vi NSPCC. Child Protection Factsheet: Guidance on Records Retention And Storage (England and Wales)
http://www.nspcc.org.uk/Inform/resourcesforprofessionals/InformationBriefings/recordsretentionPDF_wdf52858.pdf accessed May 09

vii http://www.ukba.homeoffice.gov.uk/sitecontent/documents/employersandsponsors/pbsguidance/sponsorapplicationsguidance.pdf?view=Binary accessed May 09